

## **EXHIBIT 2**

## 08-01789-cam Doc 10287-2 Filed 06/26/15 Entered 06/26/15 17:59:10 Exhibit 2

Adv. Pro. No.		Case	Defendant	Transferee Type	Transferor(s) at Issue	Recv'd Transfers from Feeder Funds with Principal Operations in U.S.	Agr. Governing Transaction Provided for U.S. Choice of Law	Agr. Governing Transaction Provided for U.S. Venue	Def. Knew Assets Placed with U.S. Invest. Adviser	Def. Knew Custody of Assets Underlying Transaction in U.S.	Def. Knew Assets Underlying Transaction Invested with BLMIS	Def. Knew Assets Underlying Transaction in U.S. Markets	Def. Conducted Due Diligence in U.S. re: Transaction	Def. Conducted Due Diligence on BLMIS	Def. used a U.S. Affiliate in Connection with Transaction	Def. Used a U.S. Agent in Connection with Transaction	Def. Used U.S. Bank Acct. w/r/t Transaction	Defs. had Relationship with Feeder Fund's U.S. Office, Employees, or other Reps.	Defs. Rec'd Fees Based on BLMIS Perf. or using BLMIS Cust. Prop.	Recv'd Fees from Feeder Fund or Feeder Fund Mgmt. as Incentive	Def. is Feeder Fund Mgmt. or Created by or for the Benefit of Feeder Fund Mgmt.	Def. Filed BLMIS Customer Claim with U.S. Bankr. Court	U.S. Citizen Def.	Def. Used U.S. Office in Connection with Transaction
						1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19
09-01154	Vizcaya Partners Limited	Zeus Partners Limted	S'holder Def.	Vizcaya Partners Ltd. (BVI)				x	x	x	x	x	x	x	x	x	x							
09-01161	Ceretti (Kingate)	First Peninsula, Individually and as Trustees of the Ashby Trust	Other	Kingate Management Ltd. (Bermuda) and certain of the foreign subsequent transferees				x	x	x	x	x	x	x	x	x	x	x		x				
		Ashby Investment Services Limited, Individually and as Trustees of The Ashby Trust	Other	Kingate Management Ltd. (Bermuda) and certain of the foreign subsequent transferees				x	x	x	x	x	x	x	x	x	x	x		x				
		Alpine Trustees Limited, Individually and as Trustees of the El Prela Trust	Other	Kingate Management Ltd. (Bermuda) and certain of the foreign subsequent transferees				x	x	x	x	x	x	x	x	x	x	x		x				
		Port of Hercules Ltd., Individually and as Trustee of the El Prela Trust	Other	Kingate Management Ltd. (Bermuda) and certain of the foreign subsequent transferees				x	x	x	x	x	x	x	x	x	x	x		x				
		El Prela Trust	Other	Kingate Management Ltd. (Bermuda) and certain of the foreign subsequent transferees				x	x	x	x	x	x	x	x	x	x	x	x		x			
		El Prela Group Holding Services	Other	Kingate Management Ltd. (Bermuda) and certain of the foreign subsequent transferees				x	x	x	x	x	x	x	x	x	x	x	x		x			
		Ashby Holding Services Limited	Other	Kingate Management Ltd. (Bermuda) and certain of the foreign subsequent transferees				x	x	x	x	x	x	x	x	x	x	x	x		x			
		El Prela Trading Investments Limited	Other	Kingate Management Ltd. (Bermuda) and certain of the foreign subsequent transferees				x	x	x	x	x	x	x	x	x	x	x	x		x			
		FIM Limited	Mgmt. Def.	Kingate Euro Fund Ltd. (BVI); Kingate Global Fund Ltd. (BVI); Kingate Management Ltd. (Bermuda)				x	x	x	x	x	x	x	x	x	x	x	x		x			
		FIM Advisors LLP	Mgmt. Def.	Kingate Euro Fund Ltd. (BVI); Kingate Global Fund Ltd. (BVI); Kingate Management Ltd. (Bermuda)				x	x	x	x	x	x	x	x	x	x	x	x		x			
		Federico Ceretti	Mgmt. Def.	First Peninsula Trustees Ltd. (Liberia); The Ashby Trust (BVI or Liberia); Ashby Investment Services Ltd. (BVI); Alpine Trustees Ltd. (Liberia); Port of Hercules Trustees Ltd. (BVI); El Prela Trust (BVI or Liberia); El Prela Group Holding Services (BVI);				x	x	x	x	x	x	x	x	x	x	x	x		x			
		Carlo Grosso	Mgmt. Def.	First Peninsula Trustees Ltd. (Liberia); The Ashby Trust (BVI or Liberia); Ashby Investment Services Ltd. (BVI); Alpine Trustees Ltd. (Liberia); Port of Hercules Trustees Ltd. (BVI); El Prela Trust (BVI or Liberia); El Prela Group Holding Services (BVI);				x	x	x	x	x	x	x	x	x	x	x	x		x			
		The Ashby Trust	Other	Kingate Management Ltd. (Bermuda) and certain of the foreign subsequent transferees				x	x	x	x	x	x	x	x	x	x	x	x	x		x		
		Kingate Management Limited	Mgmt. Def.	Kingate Euro (BVI); Kingate Global (BVI)				x	x	x	x	x	x	x	x	x	x	x	x		x			
		Citi Hedge Fund Services Limited	Serv. Provider Def.	Kingate Euro (BVI); Kingate Global (BVI)				x	x	x	x	x	x	x	x	x	x		x		x			
		HSBC Bank Bermuda Limited	Serv. Provider Def.	Kingate Euro (BVI); Kingate Global (BVI)				x	x	x	x	x	x	x	x	x	x	x	x		x			

Note: Locations in parentheses in "Transferor(s) at Issue" column are those that Defs. list in Exs. A, B. See Order, SIPC v. BLMIS, 08-1789, ECF No. 8800. The Trustee does not concede they govern the motion; provided only for the Court's convenience.

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					1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19
09-01239	Fairfield Sentry Limited	Fairfield Greenwich Limited	Mgmt. Def.	Fairfield Greenwich (U.K.) Ltd. (U.K.); Fairfield Lambda Ltd. (BVI); Fairfield Sentry Ltd. (BVI); Fairfield Sigma Ltd. (BVI); FIF Advanced Ltd. (BVI); Fairfield Greenwich (Bermuda)	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x		x	x	
		Fairfield Greenwich (Bermuda), LTD.	Mgmt. Def.	Fairfield Greenwich Ltd. (Cayman Islands); Fairfield Lambda Ltd. (BVI); Fairfield Sentry Ltd. (BVI); Fairfield Sigma Ltd. (BVI)	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x		
		Andrés Piedrahita	Mgmt. Def.	Fairfield Greenwich Ltd. (Cayman Islands); Fairfield Greenwich (U.K.) Ltd. (U.K.); Fairfield Greenwich (Bermuda) Ltd. (Bermuda)	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	
		Amit Vijayvergiya	Mgmt. Def.	Fairfield Greenwich (Bermuda) Ltd. (Bermuda); Fairfield Sentry Ltd. (BVI); Fairfield Greenwich Ltd. (Cayman Islands)	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	
		Gordon Mckenzie	Mgmt. Def.	Fairfield Greenwich (Bermuda) Ltd. (Bermuda); Fairfield Investment Fund Ltd. (BVI)	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	
		Corina Noel Piedrahita	Mgmt. Def.	Fairfield Greenwich Ltd. (Cayman Islands); Fairfield Greenwich (Bermuda) Ltd. (Bermuda); Fairfield Greenwich (U.K.) Ltd. (U.K.)	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	
		Richard Landsberger	Mgmt. Def.	Fairfield Greenwich Ltd. (Cayman Islands); Fairfield Greenwich (Bermuda) Ltd. (Bermuda); Fairfield Greenwich (U.K.) Ltd. (U.K.); FIF Advanced Ltd. (BVI)	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	
		Philip Toub	Mgmt. Def.	Fairfield Greenwich (Bermuda) Ltd. (Bermuda); Fairfield Greenwich Ltd. (Cayman Islands)	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	
		Harold Greisman	Mgmt. Def.	Fairfield Greenwich (Bermuda) Ltd. (Bermuda); Fairfield Greenwich Ltd. (Cayman Islands); FIF Advanced Ltd. (BVI)	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	
		Andrew Smith	Mgmt. Def.	Fairfield Greenwich (Bermuda) Ltd. (Bermuda); Fairfield Greenwich Ltd. (Cayman Islands); Fairfield Greenwich (U.K.) Ltd. (U.K.)	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	
		Fairfield Investment Fund Limited	S'holder Def.	Fairfield Sentry Ltd. (BVI); Fairfield Greenwich Ltd. (Cayman Islands)	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	

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					1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19
09-01364	HSBC Bank PLC	Thema Fund Ltd.	S'holder Def.	Thema Wise Investments (BVI)				x	x	x	x	x	x	x	x	x							
		HSBC Bank plc	S'holder Def.	Thema International (Ireland); Harley (Cayman Islands); Rye Select Broad Market Portfolio Ltd. (Cayman Islands); Rye Select Broad Market XL Portfolio Ltd. (Cayman Islands)	x				x	x	x	x	x	x	x	x	x						
		HSBC Securities Services (Luxembourg) S.A.	Mgmt. Def.	Alpha Prime Fund Ltd. (Bermuda); Hermes International Fund (BVI); Lagoon Investment Ltd. (BVI); Thema Fund Ltd. (BVI); Lagoon Investment Trust (BVI); Thema Wise Investments (BVI)				x	x	x	x	x	x	x	x	x	x						
		HSBC Institutional Trust Services (Ireland) Ltd.	Serv. Provider Def.	Thema International (Ireland)				x	x	x	x	x	x	x	x	x	x	x					
		HSBC Securities Services (Ireland) Ltd.	Serv. Provider Def.	Thema International Fund (Ireland)				x	x	x	x	x	x	x	x	x	x	x					
		HSBC Institutional Trust Services (Bermuda) Limited	Serv. Provider Def.	Alpha Prime Fund Ltd. (Bermuda); Hermes International Fund (BVI); Thema Fund Ltd. (BVI); Thema Wise Investments (BVI); Lagoon Investment Limited (BVI)				x	x	x	x	x	x	x	x	x	x						
		HSBC Securities Services (Bermuda) Limited	Serv. Provider Def.	Alpha Prime Fund Ltd. (Bermuda); Thema Fund Limited (BVI); Thema Wise Investments (BVI); Hermes International Fund (BVI)				x	x	x	x	x	x	x	x	x	x						
		HSBC Private Bank (Suisse) S.A.	S'holder Def.	Fairfield Sentry (BVI); Fairfield Sigma (BVI)	x	x	x	x	x	x	x	x	x	x	x	x	x	x					
		HSBC Fund Services (Luxembourg) S.A.	Serv. Provider Def.	Hermes International Fund Ltd. (BVI)				x	x	x	x	x	x	x	x	x	x	x					
		HSBC Bank Bermuda Limited	Serv. Provider Def.	Alpha Prime Fund Ltd. (Bermuda); Thema Fund Ltd. (BVI); Hermes International Fund Ltd. (BVI); Thema Wise Investments (BVI); Thema International Fund (Ireland); Lagoon Investment Ltd. (BVI)				x	x	x	x	x	x	x	x	x	x						
		Thema International Fund plc	BLMIS Acct. Holder	BLMIS (US)				x	x	x	x	x	x	x	x	x	x				x		
		Hermes International Fund Limited	S'holder Def.	Lagoon Investment Ltd. (BVI)				x	x	x	x	x	x	x	x	x	x						
		Lagoon Investment Limited	BLMIS Acct. Holder	BLMIS (US)				x	x	x	x	x	x	x	x	x	x				x		
		Lagoon Investment Trust	S'holder Def.	Lagoon Investment Ltd. (BVI)				x	x	x	x	x	x	x	x	x	x			x			
		Equus Asset Mgmt. Ltd	Serv. Provider Def.	Thema Fund Ltd. (BVI); Thema International (Ireland); Thema Wise Investments (BVI)				x	x	x	x	x	x	x	x	x	x	x	x	x			
		Hermes Asset Management Limited	Serv. Provider Def.	Hermes International Fund (BVI); Lagoon Investment Ltd. (BVI); Lagoon Investment Trust (BVI)				x	x	x	x	x	x	x	x	x	x	x	x	x			
		Thema Asset Mgmt. (Bermuda)	Mgmt. Def.	Thema Fund Ltd. (BVI); Thema Wise Investments (BVI)				x	x	x	x	x	x	x	x	x	x	x	x	x	x		
		Thema Asset Management Limited (BVI)	Mgmt. Def.	Thema International (Ireland)				x	x	x	x	x	x	x	x	x	x	x	x	x	x		

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					1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	
10-04285	UBS AG	UBS AG	Serv. Provider Def.	Luxalpha SICAV (Lux.); Groupement Financier Ltd. (BVI)				x	x	x	x		x	x		x		x		x		x		
		UBS (Luxembourg) SA	Mgmt. and Serv. Provider Def.	Groupement Financier Ltd. (BVI); Luxalpha SICAV (Lux.)		x	x	x	x	x	x	x		x	x		x	x		x	x			
		UBS Fund Services (Luxembourg) SA	Serv. Provider Def.	Groupement Financier Ltd. (BVI); Luxalpha SICAV (Lux.)				x	x	x	x	x		x	x		x	x		x		x		
		UBS Third Party Management Company SA	Mgmt. Def.	Luxalpha SICAV (Lux.)				x	x	x	x	x		x	x			x	x		x			
		Access International Advisors Ltd.	Mgmt. Def.	Groupement Financier Ltd. (BVI); Luxalpha SICAV (Lux.)				x	x	x	x	x	x	x	x		x	x		x				
		Access Management Luxembourg SA (f/k/a Access International Advisors (Luxembourg) SA) as Represented by its Liquidator Maitre Fernand Entringer	Mgmt. Def.	Groupement Financier Ltd. (BVI); Luxalpha SICAV (Lux.)				x	x	x	x	x	x	x	x		x	x		x				
		Access Partners SA as represented by its Liquidator Maitre Fernand Entringer	Serv. Provider Def.	Groupement Financier Ltd. (BVI); Luxalpha SICAV (Lux.)				x	x	x	x	x	x	x	x		x	x		x				
		Patrick Littaye	Mgmt. Def.	Groupement Financier Ltd. (BVI); Luxalpha SICAV (Lux.)				x	x	x	x	x	x	x	x		x	x		x			x	
		Pierre Delandmeter	Mgmt. Def.	Groupement Financier Ltd. (BVI); Luxalpha SICAV (Lux.)				x	x	x	x	x	x	x	x		x	x		x			x	
10-04287	Cardinal Management	Dakota Global	S'holder Def.	Cardinal Management (St. Lucia)				x	x	x	x	x	x	x							x			
10-04457	Equity Trading Fund	BNP Paribas Arbitrage SNC	S'holder Def.	Equity Trading (BVI)				x	x	x	x	x	x	x	x								x	
10-04517	Radcliff Investments Limited	Rothschild Trust Guernsey Limited	Other	Radcliff Investments Ltd. (Cayman Islands)				x	x	x	x	x				x	x							
10-05120	Oreades SICV	BGL BNP Paribas S.A.	S'holder Def.	Kingate Euro Fund Ltd. (BVI); Kingate Global Fund Ltd. (BVI)				x	x	x	x	x	x	x	x	x	x	x		x				
		BNP Paribas Securities Services S.A.	S'holder Def.	Kingate Euro Fund Ltd. (BVI); Kingate Global Fund Ltd. (BVI); Rye Select Broad Market Portfolio Ltd. (Cayman Islands)	x			x	x	x	x	x	x	x	x	x	x	x		x			x	
		Inter Investissements S.A. (f/k/a Inter Conseil S.A.)	Mgmt. Def.	Oreades SICAV (Lux.)				x	x	x	x	x		x	x	x	x	x	x	x	x			

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10-05311	UBS AG, UBS (Luxembourg) S.A.	M&B Capital Advisers Sociedad de Valores, S.A.	Mgmt. Def.	Landmark Investment Fund Ireland (Ireland); Luxembourg Investment Fund U.S. Equity Plus (Lux.)				x	x	x	x		x			x		x		x			
		Reliance Management (Gibraltar) Limited	Serv. Provider Def.	Luxembourg Investment Fund U.S. Equity Plus (Lux.)				x	x	x	x	x	x	x	x	x	x		x	x			
		UBS AG	Serv. Provider Def.	Luxembourg Investment Fund U.S. Equity Plus (Lux.)				x	x	x	x	x		x	x	x	x		x	x	x		
		UBS (Luxembourg) SA	Mgmt. and Serv. Provider Def.	Luxembourg Investment Fund U.S. Equity Plus (Lux.)				x	x	x	x	x		x	x	x	x	x	x	x	x		
		UBS Fund Services (Luxembourg) SA	Serv. Provider Def.	Luxembourg Investment Fund U.S. Equity Plus (Lux.)				x	x	x	x	x		x	x	x	x	x	x	x			
		UBS Third Party Management Company SA	Mgmt. Def.	Luxembourg Investment Fund U.S. Equity Plus (Lux.)				x	x	x	x	x		x	x			x		x			
10-05346	Merrill Lynch International	Merrill Lynch International	S'holder Def.	Fairfield Sentry Ltd. (BVI); Fairfield Sigma Ltd. (BVI)	x	x	x	x	x	x	x		x	x	x	x							
10-05348	Nomura International PLC	Nomura International plc	S'holder Def.	Fairfield Sentry (BVI)	x	x	x	x	x	x	x	x	x	x	x	x							
10-05351	Banco Bilbao Vizcaya Argentaria, S.A.	Banco Bilbao Vizcaya Argentaria, S.A.	S'holder Def.	Fairfield Sentry (BVI)	x	x	x	x	x	x	x	x	x	x	x	x					x		
10-05353	Natixis	Bloom Asset Holdings Fund	S'holder Def.	Alpha Prime (Bermuda); and Harley (Cayman Islands); Groupement Financier Ltd. (BVI)	x	x	x	x	x	x	x	x	x	x	x	x					x		
		Natixis	S'holder Def.	Fairfield Sentry (BVI)	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x				
		Tensyr Limited	S'holder Def.	Fairfield Sentry (BVI)	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x		
10-05354	ABN AMRO Bank, N.A.	ABN AMRO Bank N.A.	S'holder Def.	Rye Select Broad Market Portfolio Ltd. (Cayman Islands); Rye Select Broad Market XL Portfolio L.P. (Cayman Islands)	x				x	x	x	x	x	x	x	x					x		
10-05355	ABN AMRO Bank (Ireland) Ltd	ABN AMRO Bank (Ireland) Ltd. (f/k/a Fortis Prime Fund Solutions Bank (Ireland) Ltd.)	Other	Kingate Global Fund (BVI)		x	x	x	x	x	x	x	x	x	x	x							
		ABN AMRO Custodial Services (Ireland) Ltd. (f/n/a Fortis Prime Fund Solutions Custodial Services (Ireland) Ltd.)	Other	Kingate Global Fund (BVI)		x	x	x	x	x	x	x	x	x	x	x							
11-02149	Banque Syz & Co., SA	Banque Syz & Co., SA	S'holder Def.	Fairfield Sentry Ltd. (BVI); Kingate Euro Fund Ltd. (BVI); Kingate Global Fund Ltd. (BVI); Fairfield Sigma Ltd. (BVI)	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x			
11-02493	Abu Dhabi Investment Authority	Abu Dhabi Investment Authority	S'holder Def.	Fairfield Sentry (BVI)	x				x	x	x	x	x	x	x	x	x	x	x				
11-02537	Orbita Capital Return Strategy	Orbita Capital Return Strategy	S'holder Def.	Fairfield Sentry (BVI)	x				x	x	x	x	x	x	x	x	x						

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					1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19
11-02538	Quilvest Finance Ltd.	Quilvest Finance Ltd.	S'holder Def.	Fairfield Sentry (BVI)	x			x	x	x	x	x	x	x	x	x							
11-02539	Meritz Fire & Insurance Co. Ltd.	Meritz Fire & Insurance Co. Ltd.	S'holder Def.	Fairfield Sentry (BVI)	x	x	x	x	x	x	x	x	x	x									
11-02540	Lion Global Investors Limited	Lion Global Investors Limited	S'holder Def.	Fairfield Sentry (BVI)	x	x	x	x	x	x	x	x	x			x	x		x				
11-02541	First Gulf Bank	First Gulf Bank	S'holder Def.	Fairfield Sentry (BVI)	x	x	x	x	x	x	x	x			x	x							
11-02542	Parson Finance Panama S.A.	Parson Finance Panama S.A.	S'holder Def.	Fairfield Sentry (BVI)	x	x	x	x	x	x	x	x			x	x							
11-02553	Unifortune Asset Management SGR SpA	Unifortune Asset Management SGR SpA	S'holder Def.	Fairfield Sentry (BVI); Fairfield Sigma (BVI)	x	x	x	x	x	x	x	x	x		x	x							
		Unifortune Conservative Fund	S'holder Def.	Fairfield Sentry (BVI); Fairfield Sigma (BVI)	x	x	x	x	x	x	x	x	x		x	x	x	x					
11-02554	National Bank of Kuwait S.A.K.	National Bank of Kuwait S.A.K.	S'holder Def.	Fairfield Sentry (BVI)	x	x	x	x	x	x	x	x		x		x	x	x		x	x	x	
11-02568	Cathay Life Insurance Co. LTD.	Cathay Life Insurance Co. LTD.	S'holder Def.	Fairfield Sentry (BVI)	x	x	x	x	x	x	x	x		x									
11-02569	Barclays Bank (Suisse) S.A.	Barclays Bank (Suisse) S.A.	S'holder Def.	Fairfield Sentry (BVI); Fairfield Sigma (BVI)	x	x	x	x	x	x	x	x	x		x	x							
		Barclays Bank S.A.	S'holder Def.	Fairfield Sentry (BVI); Fairfield Sigma (BVI)	x				x	x	x	x	x		x	x							
		Barclays Private Bank & Trust Limited	S'holder Def.	Fairfield Sentry (BVI)	x				x	x	x	x	x		x	x			x				
11-02570	Banca Carige S.P.A.	Banca Carige S.P.A.	S'holder Def.	Fairfield Sentry Ltd. (BVI)	x	x	x	x	x	x	x	x			x								
11-02571	Banque Privee Espirito Santo S.A.	Banque Privee Espirito Santo S.A.	S'holder Def.	Fairfield Sentry (BVI); Fairfield Sigma (BVI)	x	x	x	x	x	x	x	x			x	x							
11-02572	Korea Exchange Bank, Individually And As Trustee For Korea Global All	Korea Exchange Bank, Individually And As Trustee For Korea Global All Asset Trust I-1, And For Tams Rainbow Trust III	S'holder Def.	Fairfield Sentry (BVI)	x	x	x	x	x	x	x	x			x								
		Korea Investment Trust Management Company	S'holder Def.	Fairfield Sentry (BVI)	x	x	x	x	x	x	x	x			x								

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					1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19
11-02573	The Sumitomo Trust and Banking Co., Ltd.	The Sumitomo Trust and Banking Co., Ltd.	S'holder Def.	Fairfield Sentry (BVI)	x	x	x	x	x	x	x	x	x	x	x	x					x		
11-02730	Atlantic Security Bank	Atlantic Security Bank	S'holder Def.	Fairfield Sentry (BVI)	x			x	x	x	x	x	x	x	x	x	x					x	
11-02731	Trincaster Corporation	Trincaster Corporation	S'holder Def.	Fairfield Sentry (BVI)	x			x	x	x	x	x	x	x	x	x	x		x				
11-02758	Caceis Bank Luxembourg	Caceis Bank	S'holder Def.	Fairfield Sentry (BVI)	x	x	x	x	x	x	x		x		x	x	x						
		Caceis Bank Luxembourg	S'holder Def.	Fairfield Sentry (BVI); Fairfield Sigma (BVI); Harley International (Cayman Islands)	x	x	x	x	x	x	x		x		x	x							
11-02759	Nomura International PLC	Nomura International PLC	S'holder Def.	Harley (Cayman Islands)	x		x		x	x	x				x								
11-02760	ABN Amro Bank N.V.	ABN Amro Bank N.V.	S'holder Def.	Harley International (Cayman Islands)	x										x	x	x					x	
11-02761	KBC Investments Limited	KBC Investments Limited	S'holder Def.	Harley International (Cayman Islands)	x			x	x	x	x	x	x	x	x	x							
11-02762	Lighthouse Investment Partners LLC	Lighthouse Diversified Fund Limited	S'holder Def.	Fairfield Sentry (BVI)	x		x	x	x	x	x			x	x	x						x	
11-02763	Inteligo Bank Ltd.	Inteligo Bank Ltd.- Panama Branch, f/k/a Blubank Ltd. Panama Branch	S'holder Def.	Fairfield Sentry Ltd. (BVI); Fairfield Sigma Ltd. (BVI)	x	x	x	x	x	x	x	x			x	x		x					
11-02784	Somers Dublin Limited	Somers Dublin Limited	S'holder Def.	Fairfield Sentry (BVI); Harley International (Cayman Islands)	x	x	x	x	x	x	x			x		x	x						
		Somers Nominees (Far East) Limited	S'holder Def.	Fairfield Sentry (BVI)	x	x	x	x	x	x	x			x		x	x						
11-02796	BNP Paribas Arbitrage SNC	BNP Paribas Arbitrage SNC	S'holder Def.	Harley International (Cayman Islands)	x	x	x	x	x	x	x	x	x	x	x	x					x		
11-02910	Merrill Lynch Bank (Suisse) SA	Merrill Lynch Bank (Suisse) SA	S'holder Def.	Fairfield Sentry Ltd. (BVI); Fairfield Sigma Ltd. (BVI)	x	x	x	x	x	x	x				x	x		x		x			
11-02922	Bank Julius Baer & Co. Ltd.	Bank Julius Baer & Co. Ltd.	S'holder Def.	Fairfield Sentry (BVI); Fairfield Sigma (BVI); Fairfield Lambda (BVI)	x	x	x	x	x	x	x	x	x	x	x	x		x			x		
11-02923	Falcon Private Bank Ltd.	Falcon Private Bank Ltd.	S'holder Def.	Fairfield Sentry (BVI); Fairfield Sigma (BVI)	x	x	x	x	x	x	x	x	x	x	x	x							

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						1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19
11-02925	Credit Suisse AG	Credit Suisse AG	S'holder Def.	Fairfield Lambda (BVI); Fairfield Sentry (BVI); Fairfield Sigma (BVI); Kingate Euro (BVI); Kingate Global (BVI)		x	x	x	x	x	x	x	x	x	x	x	x	x	x			x	x	
		Credit Suisse AG, Nassau Branch	S'holder Def.	Fairfield Lambda (BVI); Fairfield Sentry (BVI); Kingate Global (BVI)		x	x	x	x	x	x	x	x	x	x	x	x	x	x	x			x	
		Credit Suisse AG, Nassau Branch LATAM Investment Banking	S'holder Def.	Fairfield Sentry (BVI)		x	x	x	x	x	x	x	x	x	x	x	x	x	x				x	
		Credit Suisse AG, Nassau Branch Wealth Management	S'holder Def.	Fairfield Sentry (BVI)		x	x	x	x	x	x	x	x	x	x	x	x	x	x				x	
		Credit Suisse Wealth Management Limited	S'holder Def.	Fairfield Sentry (BVI)		x	x	x	x	x	x	x	x	x	x	x	x	x					x	
		Credit Suisse (Luxembourg) SA	S'holder Def.	Fairfield Sentry (BVI); Fairfield Sigma (BVI)		x	x	x	x	x	x	x	x	x	x	x	x	x	x					
		Credit Suisse International Limited	S'holder Def.	Fairfield Sigma (BVI)		x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x			
		Credit Suisse Nominees (Guernsey) Limited	S'holder Def.	Fairfield Lambda (BVI); Fairfield Sentry (BVI); Fairfield Sigma (BVI); Kingate Global (BVI)		x	x	x	x	x	x	x	x	x	x	x	x	x						
		Credit Suisse London Nominees Limited	S'holder Def.	Fairfield Lambda (BVI); Fairfield Sentry (BVI); Fairfield Sigma (BVI); Kingate Global (BVI)		x	x	x	x	x	x	x	x	x	x	x	x	x	x	x				
		Credit Suisse (UK) Limited	S'holder Def.	Fairfield Lambda (BVI); Fairfield Sentry (BVI); Fairfield Sigma (BVI); Kingate Global (BVI)		x	x	x	x	x	x	x	x	x	x	x	x	x						
11-02929	LGT Bank in Liechtenstein Ltd.	LGT Bank in Liechtenstein	S'holder Def.	Fairfield Sentry (BVI); Fairfield Sigma (BVI)		x	x	x	x	x	x	x	x	x	x	x	x	x						
12-01002	The Public Institution For Social Security	The Public Institution For Social Security	S'holder Def.	Fairfield Sentry (BVI)		x				x	x	x	x	x	x	x	x	x	x					
12-01004	Fullerton Capital PTE Ltd.	Fullerton Capital Pte Ltd.	S'holder Def.	Fairfield Sentry (BVI)		x	x	x	x	x	x	x	x	x			x	x						
12-01005	SICO Limited	SICO Limited	S'holder Def.	Fairfield Sentry (BVI); Kingate Global (BVI)		x	x	x	x	x	x	x	x	x	x	x	x	x						
12-01019	Banco Itau	Banco Itau Europa Luxembourg S.A.	S'holder Def.	Fairfield Sentry (BVI); Fairfield Sigma (BVI); Kingate Global (BVI)		x	x	x	x	x	x	x	x		x	x	x	x						
12-01021	Grosvenor Investment Management	Grosvenor Aggressive Growth Fund Limited	S'holder Def.	Fairfield Sentry (BVI)		x		x	x	x	x	x	x					x						
		Grosvenor Balanced Growth Fund Limited	S'holder Def.	Fairfield Sentry (BVI); Kingate Global (BVI)		x		x	x	x	x	x	x				x	x						
		Grosvenor Investment Management Ltd.	S'holder Def.	Kingate Global (BVI); Fairfield Sentry (BVI)		x		x	x	x	x	x	x				x							
		Grosvenor Private Reserve Fund Limited	S'holder Def.	Fairfield Sentry (BVI); Kingate Global (BVI)		x		x	x	x	x	x	x			x	x	x						

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						1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19
12-01022	Credit Agricole	Crédit Agricole (Suisse) S.A.	S'holder Def.	Fairfield Sentry (BVI); Fairfield Sigma Ltd. (BVI); Kingate Euro Fund (BVI); Kingate Global (BVI)	x	x	x	x	x	x	x		x		x	x	x		x					
		Credit Agricole S.A., a.k.a. Banque Du Credit Agricole	S'holder Def.	Kingate Euro Fund (BVI); Kingate Global (BVI)				x	x	x	x	x		x										
12-01023	Arden Asset Management	Arden Endowment Advisers, Ltd.	S'holder Def.	Fairfield Sentry (BVI); Kingate Global (BVI)	x	x	x	x	x	x	x	x	x	x	x	x	x						x	
12-01025	Solon Capital, Ltd.	Solon Capital, Ltd.	S'holder Def.	Kingate Global (BVI)				x	x	x	x	x	x			x	x	x						
12-01046	SNS Bank N.V.	SNS Bank N.V.	S'holder Def.	Fairfield Lambda (BVI); Fairfield Sentry (BVI); Fairfield Sigma (BVI)	x	x	x	x	x	x	x	x	x			x	x							
		SNS Global Custody B.V.	S'holder Def.	Fairfield Lambda (BVI); Fairfield Sentry (BVI); Fairfield Sigma (BVI)	x	x	x	x	x	x	x	x	x	x		x	x				x			
12-01047	Koch Industries, Inc., as successor	Koch Industries Inc.	S'holder Def.	Fairfield Sentry (BVI)	x	x	x	x	x	x	x	x	x	x	x	x	x						x	
12-01194	Kookmin Bank	Kookmin Bank	S'holder Def.	Fairfield Sentry (BVI)	x	x	x	x	x	x	x													
12-01195	Six Sis AG	Six Sis AG	S'holder Def.	Kingate Euro Fund Ltd. (BVI); Kingate Global Fund Ltd. (BVI); Fairfield Sentry Ltd. (BVI); Fairfield Sigma Ltd. (BVI)	x	x	x	x	x	x	x					x								
12-01202	Vontobel AG	Bank Vontobel AG f/k/a Bank J. Vontobel & Co. AG	S'holder Def.	Fairfield Sentry (BVI); Kingate Global (BVI); Kingate Euro (BVI)	x	x	x	x	x	x	x	x	x	x	x	x	x	x		x				
12-01205	Multi Strategy Fund Ltd	CDP Capital Tactical Alternative Investments	S'holder Def.	Fairfield Sentry (BVI); Kingate Global (BVI)	x	x	x	x	x	x	x	x	x	x		x	x							
		Multi Strategy Fund Limited	S'holder Def.	Fairfield Sentry (BVI); Kingate Global (BVI)	x	x	x	x	x	x	x	x	x	x		x	x							
12-01207	Lloyds TSB Bank PLC	Lloyds TSB Bank PLC	S'holder Def.	Fairfield Sentry (BVI); Fairfield Sigma (BVI)	x	x	x	x	x	x	x	x	x	x	x	x	x	x		x	x	x		
12-01209	BSI AG	BSI AG, individually and as successor in interest to Banco del Gottardo	S'holder Def.	Fairfield Sentry (BVI); Fairfield Sigma (BVI)	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x					
12-01210	Schroder & Co.	Schroder & Co. Bank AG	S'holder Def.	Fairfield Sentry (BVI); Fairfield Sigma (BVI); Kingate Euro Fund (BVI); Kingate Global (BVI)	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x				

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					1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	
12-01211	Union Securities	Union Arbitrage Strategy Fund	S'holder Def.	Fairfield Sentry (BVI)		x	x	x	x	x	x	x		x		x								
		Union Securities Investment Trust Co., Ltd.	S'holder Def.	Fairfield Sentry (BVI)		x	x	x	x	x	x	x		x		x								
		Union USD Global Arbitrage A Fund	S'holder Def.	Fairfield Sentry (BVI)		x	x	x	x	x	x	x		x		x								
		Union USD Global Arbitrage Fund	S'holder Def.	Fairfield Sentry (BVI)		x	x	x	x	x	x	x		x		x								
12-01216	Bank Hapoalim	Bank Hapoalim (Switzerland) Ltd.	S'holder Def.	Fairfield Sentry (BVI); Kingate Global Fund (BVI)		x	x	x	x	x	x	x				x								
		Bank Hapoalim B.M.	S'holder Def.	Fairfield Sentry (BVI)		x	x	x	x	x	x	x		x		x							x	
12-01273	Mistral (SPC)	Mistral (SPC)	S'holder Def.	Kingate Global (BVI); Rye Select Broad Market Portfolio Ltd. (Cayman Islands)		x			x	x	x	x	x		x	x	x							
12-01278	Zephyros Limited	Zephyros Limited	S'holder Def.	Kingate Global (BVI); Rye Select Broad Market Portfolio Ltd. (Cayman Islands)		x	x	x	x	x	x	x	x		x	x	x							
12-01512	ZCM Asset Holding Co	ZCM Asset Holding Company (Bermuda) LLC	S'holder Def.	Fairfield Sentry (BVI); Kingate Global (BVI)		x	x	x	x	x	x	x			x	x	x							
12-01513	Citivic Nominees Ltd.	Citivic Nominees Ltd.	S'holder Def.	Fairfield Sentry (BVI)		x	x	x	x	x	x	x			x	x	x							
12-01565	Standard Chartered Financial Services	Standard Chartered Financial Services (Luxembourg) S.A., f/k/a American Express Financial Services (Luxembourg) S.A. and f/k/a American Express Bank (Luxembourg) S.A., as represented by its liquidator Hanspeter Krämer	S'holder Def.	Kingate Global Fund Ltd. (BVI); Fairfield Sentry Ltd. (BVI); Fairfield Sigma Ltd. (BVI)		x		x		x		x		x	x	x		x					x	
12-01566	UKFP (Asia) Nominees Ltd.	UKFP (Asia) Nominees Ltd.	S'holder Def.	Fairfield Sentry (BVI)		x	x	x	x	x	x	x				x	x							

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						1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19
12-01576	BNP Paribas S.A.	BGL BNP Paribas Luxembourg S.A.	S'holder Def.	Kingate Global Fund Ltd. (BVI); Kingate Euro Fund Ltd. (BVI); Fairfield Sentry Ltd. (BVI); Fairfield Sigma (BVI).	x	x	x	x	x	x	x	x	x	x	x	x	x							
		BNP Paribas (Suisse) S.A.	S'holder Def.	Fairfield Sentry Ltd. (BVI); Kingate Global Fund Ltd. (BVI); Kingate Euro Fund Ltd. (BVI)	x	x	x	x	x	x	x	x	x	x	x	x	x							
		BNP Paribas Arbitrage SNC	S'holder Def.	Kingate Global Fund Ltd. (BVI); Kingate Euro Fund Ltd. (BVI); Rye Select Broad Market Portfolio Ltd. (Cayman Islands); Fairfield Sentry Ltd. (BVI)	x	x	x	x	x	x	x	x	x	x	x	x	x						x	
		BNP Paribas Bank & Trust Cayman Limited	S'holder Def.	Kingate Global Fund Ltd. (BVI); Rye Select Broad Market Portfolio Ltd. (Cayman Islands); Fairfield Sentry Ltd. (BVI)	x	x	x	x	x	x	x	x	x	x	x	x	x							
		BNP Paribas S.A.	S'holder Def.	Fairfield Sentry Ltd. (BVI); Kingate Global Fund Ltd. (BVI); Kingate Euro Fund Ltd. (BVI)	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x			x	
		BNP Paribas Securities Services S.A.	S'holder Def.	Kingate Global Fund Ltd. (BVI); Kingate Euro Fund Ltd. (BVI); Rye Select Broad Market Portfolio Ltd. (Cayman Islands); Fairfield Sentry (BVI); Fairfield Sigma (BVI)	x	x	x	x	x	x	x	x	x	x	x	x	x	x					x	
		BNP Paribas Securities Services Succursale de Luxembourg	S'holder Def.	Kingate Global Fund Ltd. (BVI); Kingate Euro Fund Ltd. (BVI); Rye Select Broad Market Portfolio Ltd. (Cayman Islands); Fairfield Sentry (BVI); Fairfield Sigma (BVI)	x	x	x	x	x	x	x	x	x	x	x	x	x						x	
12-01577	Dresdner Bank	UBS Deutschland AG as successor in interest to Dresdner Bank LateinAmerika AG	S'holder Def.	Fairfield Sentry (BVI); Fairfield Sigma (BVI)	x	x	x	x	x	x	x	x	x	x	x	x	x	x					x	
		LGT Bank (Switzerland) Ltd. as successor in interest to Dresdner Bank (Schweiz) AG	S'holder Def.	Fairfield Sentry (BVI); Kingate Euro (BVI)	x	x	x	x	x	x	x	x					x	x						
12-01669	Barfield Nominees Limited	Barfield Nominees Limited	S'holder Def.	Fairfield Sentry (BVI); Kingate Global (BVI); Kingate Euro	x	x	x	x	x	x	x	x		x	x	x	x							
12-01676	Clariden Leu	Credit Suisse AG, as successor-in-interest to Clariden Leu AG and Bank Leu AG	S'holder Def.	Fairfield Lambda (BVI); Fairfield Sentry (BVI); Fairfield Sigma (BVI); Kingate Global (BVI)	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x			x	

Adv. Pro. No.		Case	Defendant	Transferee Type	Transferor(s) at Issue	Recv'd Transfers from Feeder Funds with Principal Operations in U.S.	Ag. Governing Transaction Provided for U.S. Choice of Law	Ag. Governing Transaction Provided for U.S. Venue	Def. Knew Assets Placed with U.S. Invest. Adviser	Def. Knew Custody of Assets Underlying Transaction in U.S.	Def. Knew Assets Underlying Transaction Invested with BLMIS	Def. Knew Assets Underlying Transaction in U.S. Markets	Def. Conducted Due Diligence in U.S. re: Transaction	Def. Conducted Due Diligence on BLMIS	Def. used a U.S. Affiliate in Connection with Transaction	Def. Used a U.S. Agent in Connection with Transaction	Def. Used U.S. Bank Acct. w/r/t Transaction	Defs. had Relationship with Feeder Fund's U.S. Office, Employees, or other Reps.	Defs. Rec'd Fees Based on BLMIS Perf. or using BLMIS Cust. Prop.	Recv'd Fees from Feeder Fund or Feeder Fund Mgmt. as Incentive	Def. is Feeder Fund Mgmt. or Created by or for the Benefit of Feeder Fund Mgmt.	Def. Filed BLMIS Customer Claim with U.S. Bankr. Court	U.S. Citizen Def.	Def. Used U.S. Office in Connection with Transaction
						1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19
12-01677	Societe Generale	Societe Generale Private Banking (Suisse) S.A. (f/k/a SG Private Banking Suisse S.A.)	S'holder Def.	Fairfield Lambda (BVI); Fairfield Sentry (BVI); Fairfield Sigma (BVI); Kingate Global (BVI)	x	x	x	x	x	x	x	x	x	x	x	x	x						x	
		Societe Generale Private Banking (Lugano-Svizzera) S.A. (f/k/a SG Private Banking (Lugano-Svizzera) S.A.)	S'holder Def.	Fairfield Sentry (BVI); Fairfield Sigma (BVI)	x	x	x	x	x	x	x	x	x	x	x	x	x							
		Socgen Nominees (UK) Limited	S'holder Def.	Fairfield Sentry (BVI)	x	x	x	x	x	x	x	x	x	x	x	x	x	x						
		Lyxor Asset Management S.A., as Successor in Interest to Barep Asset Management S.A.	S'holder Def.	Fairfield Sentry (BVI)	x	x	x	x	x	x	x	x	x	x	x	x	x	x						
		Societe Generale Holding de Participations S.A., as Successor in Interest to Barep Asset Management S.A	S'holder Def.	Fairfield Sentry (BVI)	x	x	x	x	x	x	x	x	x	x	x	x	x	x						
		SG Audace Alternatif (f/k/a SGAM AI Audace Alternatif)	S'holder Def.	Fairfield Sentry (BVI)	x	x	x	x	x	x	x	x	x	x	x	x	x	x						
		SGAM AI Equilibrium Fund (f/k/a SGAM Alternative Diversified Fund)	S'holder Def.	Fairfield Sentry (BVI); Kingate Global (BVI)	x	x	x	x	x	x	x	x	x	x	x	x	x	x						
		Lyxor Premium Fund (f/k/a SGAM Alternative Multi Manager Diversified Fund)	S'holder Def.	Fairfield Sentry (BVI)	x	x	x	x	x	x	x	x	x	x	x	x	x	x						
		Societe Generale S.A., as Trustee for Lyxor Premium Fund	S'holder Def.	Fairfield Sentry (BVI)	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x			x	
		Societe Generale Bank & Trust S.A.	S'holder Def.	Fairfield Sentry (BVI); Fairfield Sigma (BVI); Kingate Global (BVI)	x	x	x	x	x	x	x	x	x	x	x	x	x	x						
		Bank Audi S.A.M.- Audi Saradar Group (f/k/a Dresdner Bank Monaco S.A.M.)	S'holder Def.	Kingate Global (BVI)					x	x	x	x	x	x	x		x	x		x				
		OFI MGA Alpha Palmares (f/k/a Oval Alpha Palmares)	S'holder Def.	Fairfield Sentry (BVI)	x	x	x	x	x	x	x	x	x	x	x		x	x						
		Oval Palmares Europlus	S'holder Def.	Fairfield Sentry (BVI)	x	x	x	x	x	x	x	x	x	x	x		x	x						
		UMR Select Alternatif	S'holder Def.	Fairfield Sentry (BVI)	x	x	x	x	x	x	x	x	x	x	x		x	x						

Adv. Pro. No.		Case	Defendant	Transferee Type	Transferor(s) at Issue	Rec'd Transfers from Feeder Funds with Principal Operations in U.S.	Agr. Governing Transaction Provided for U.S. Choice of Law	Agr. Governing Transaction Provided for U.S. Venue	Def. Knew Assets Placed with U.S. Invest. Adviser	Def. Knew Custody of Assets Underlying Transaction in U.S.	Def. Knew Assets Underlying Transaction Invested with BLMIS	Def. Knew Assets Underlying Transaction in U.S. Markets	Def. Conducted Due Diligence in U.S. re: Transaction	Def. Conducted Due Diligence on BLMIS	Def. used a U.S. Agent in Connection with Transaction	Def. Used a U.S. Affiliate in Connection with Transaction	Def. Used U.S. Bank Acct. w/r/t Transaction	Defs. had Relationship with Feeder Fund's U.S. Office, Employees, or other Reps.	Defs. Rec'd Fees Based on BLMIS Perf. or using BLMIS Cust. Prop.	Rec'd Fees from Feeder Fund or Feeder Fund Mgmt. as Incentive	Def. is Feeder Fund Mgmt. or Created by or for the Benefit of Feeder Fund Mgmt.	Def. Filed BLMIS Customer Claim with U.S. Bankr. Court	U.S. Citizen Def.	Def. Used U.S. Office in Connection with Transaction
					1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	
12-01680	Intesa Sanpaolo SpA	Eurizon Capital SGR SpA (as Successor in Interest to Eurizon Investimenti SGR SpA, f/k/a Nextra Investment Management SGR SpA, and Eurizon Alternative Investments SGR SpA, f/k/a Nextra Alternative Inv	S'holder Def.	Fairfield Sentry (BVI); Kingate Euro (BVI); Kingate Global (BVI)	x				x	x	x	x		x	x	x	x							
		Eurizon Low Volatility f/k/a Nextra Low Volatility	S'holder Def.	Fairfield Sentry (BVI); Kingate Euro (BVI); Kingate Global (BVI)	x				x	x	x	x		x	x		x	x						
		Eurizon Low Volatility II f/k/a Nextra Low Volatility II	S'holder Def.	Kingate Euro (BVI); Kingate Global (BVI)					x	x	x	x		x	x		x							
		Eurizon Low Volatility PB f/k/a Nextra Low Volatility PB	S'holder Def.	Kingate Euro (BVI); Kingate Global (BVI)					x	x	x	x		x	x		x							
		Eurizon Medium Volatility f/k/a Nextra Medium Volatility	S'holder Def.	Fairfield Sentry (BVI); Kingate Global (BVI)	x				x	x	x	x		x	x		x	x						
		Eurizon Medium Volatility II f/k/a Nextra Medium Volatility II	S'holder Def.	Kingate Global (BVI)					x	x	x	x		x	x		x							
		Eurizon Total Return f/k/a Nextra Total Return	S'holder Def.	Kingate Global (BVI)					x	x	x	x		x	x		x							
	EFG Bank S.A.	Intesa Sanpaolo SpA (as Successor in Interest to Banca Intesa SpA	S'holder Def.	Kingate Global (BVI)					x	x	x	x		x										
		EFG Bank & Trust (Bahamas) Limited, as successor-in-interest to Banco Atlantico (Bahamas) Bank & Trust Limited	S'holder Def.	Fairfield Sentry (BVI); Fairfield Sigma (BVI)	x	x	x	x	x	x	x	x	x	x	x	x	x							
		EFG Bank (Monaco) S.A.M., f/k/a EFG Eurofinanciere d'Investissements S.A.M.	S'holder Def.	Fairfield Sentry (BVI); Kingate Global (BVI)	x	x	x	x	x	x	x	x	x	x	x	x			x					
		EFG Bank S.A., f/k/a EFG Private Bank S.A.	S'holder Def.	Fairfield Lambda (BVI); Fairfield Sentry (BVI); Fairfield Sigma (BVI); Kingate Global (BVI)	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x				
12-01693	Lombard Odier Darier Hentsch & Cie	Lombard Odier Darier Hentsch & Cie	S'holder Def.	Fairfield Sentry (BVI); Fairfield Sigma (BVI); Kingate Global (BVI)	x	x	x	x	x	x	x	x					x	x						
12-01694	Banque Cantonale Vaudoise	Banque Cantonale Vaudoise	S'holder Def.	Fairfield Sentry (BVI)	x	x	x	x	x	x	x	x	x			x	x	x						
12-01695	Bordier & Cie	Bordier & Cie	S'holder Def.	Fairfield Sentry (BVI); Fairfield Sigma (BVI); Kingate Euro (BVI); Kingate Global (BVI)	x	x	x	x	x	x	x	x		x		x	x	x						
12-01697	ABN AMRO Fund Services (Isle of Man) Nominees Limited	Odyssey	S'holder Def.	ABN AMRO Fund Services (Isle of Man) Nominees Ltd. (Isle of Man); Fairfield Sentry (BVI)	x	x	x	x	x	x	x	x	x	x			x							
		ABN AMRO Fund Services (Isle of Man) Nominees Limited, f/k/a Fortis (Isle of Man) Nominees Limited	S'holder Def.	Fairfield Sigma (BVI); Fairfield Sentry (BVI)	x	x	x	x	x	x	x	x	x	x	x	x	x	x						
		Platinum All Weather Fund Limited	S'holder Def.	Fortis (Isle of Man) Nominees, Ltd. (Isle of Man); Fairfield Sigma (BVI); Fairfield Sentry (BVI)	x	x	x	x	x	x	x	x	x	x	x	x	x	x						

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						1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19
12-01698	RBC Dexia	Banque Internationale a Luxembourg (Suisse) S.A. (f/k/a Dexia Private Bank (Switzerland) Ltd.)	S'holder Def.	Fairfield Sentry (BVI); Fairfield Sigma (BVI); Kingate Global (BVI)	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x				
		Banque Internationale a Luxembourg S.A. (f/k/a Dexia Banque Internationale a Luxembourg S.A.), individually and as successor in interest to Dexia Nordic Private Bank S.A.	S'holder Def.	Fairfield Sentry (BVI); Fairfield Sigma (BVI)	x	x	x	x	x	x	x	x	x	x	x	x	x	x						
		RBC Dexia Investor Services Bank S.A.	S'holder Def.	Fairfield Sentry (BVI); Kingate Global (BVI)	x	x	x	x	x	x	x	x	x	x	x	x	x	x						
		RBC Dexia Investor Services Espana S.A	S'holder Def.	Fairfield Sentry (BVI); Fairfield Sigma (BVI)	x	x	x	x	x	x	x	x	x	x	x	x	x	x			x			
		RBC Dexia Investor Services Trust	S'holder Def.	Rye Select Broad Market Portfolio Ltd. (Cayman Islands)	x				x	x	x	x	x	x	x	x	x	x	x					
12-01699	Royal Bank of Canada	Royal Bank of Canada	S'holder Def.	Fairfield Sentry (BVI); Kingate Global Fund (BVI)	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x			x	
		Guernroy Limited	S'holder Def.	Fairfield Sentry (BVI); Kingate Global Fund (BVI); Rye Select Broad Market Portfolio Ltd. (Cayman Islands)	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x			x		
		Royal Bank of Canada (Channel Islands) Limited	S'holder Def.	Fairfield Sentry (BVI); Kingate Global Fund (BVI); Rye Select Broad Market Portfolio Ltd. (Cayman Islands)	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x					
		Royal Bank of Canada Trust Company (Jersey) Limited	S'holder Def.	Fairfield Sentry (BVI)	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x				
		Royal Bank of Canada (Asia) Limited	S'holder Def.	Fairfield Sentry (BVI)	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x				
		Royal Bank of Canada (Suisse) S.A.	S'holder Def.	Fairfield Sentry (BVI); Kingate Global Fund (BVI)	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x				
		RBC Dominion Securities Inc.	S'holder Def.	Fairfield Sentry (BVI); Kingate Global Fund (BVI)	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x					
12-01700	Caprice International Group Inc.	Citibank (Switzerland) Ltd.	S'holder Def.	ZCM Asset Holding Company (Bermuda) LLC (Bermuda); Fairfield Sentry (BVI)	x	x	x	x	x	x	x	x												
12-01701	RD Trust (Piedrahitia)	PF Trustees Limited in its capacity as trustee of RD Trust	Mgmt. Def.	Fairfield Greenwich (Bermuda) Ltd. (Bermuda); Fairfield Greenwich Ltd. (Cayman)	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x			x	
		SafeHand Investments	Mgmt. Def.	Fairfield Greenwich (Bermuda) Ltd. (Bermuda); Fairfield Greenwich Ltd. (Cayman)	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x		x	
		Strongback Holdings Corporation	Mgmt. Def.		x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x		
12-01702	Barreneche, Inc (Other Fairfield Partners)	Dove Hill Trust	Mgmt. Def.	Fairfield Greenwich Ltd. (Cayman Islands); Fairfield Sentry (BVI)	x			x	x	x	x	x	x	x	x			x		x		x		
		FG Investors Ltd.	Mgmt. Def.	Fairfield Greenwich (Bermuda) Ltd. (Bermuda); Fairfield Greenwich Ltd. (Cayman Islands)	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x		

**Description of Columns in Summary Chart**

Column	Description of Columns Listed in Exhibit 2-A
1	Defendant received some or all of the transfers at issue on the motion to dismiss on extraterritoriality from Feeder Funds with their principal operations in the U.S., or from BLMIS directly.
2	United States choice of law governs the transaction based on the pertinent agreements ( <i>e.g.</i> , subscription agreements between Shareholder Defendants and Feeder Funds, management agreements between Management Defendants and Feeder Funds, or administrator agreements between Service Provider Defendants and Feeder Funds). Does not include customer agreements BLMIS account holders entered into with respect to their BLMIS accounts, unless the Defendant was in fact a BLMIS account holder.
3	Contract or agreement forming the basis of the transaction contains a U.S. venue provision ( <i>e.g.</i> , subscription agreements between Shareholder Defendants and Feeder Funds, management agreements between Management Defendants and Feeder Funds, or administrator agreements between Service Provider Defendants and Feeder Funds). Does not include customer agreements BLMIS account holders entered into with respect to their BLMIS accounts, unless the Defendant was in fact a BLMIS account holder.
4	United States investment adviser was disclosed to Defendant, including disclosures—formal or informal—that BLMIS was the investment adviser or that assets were invested by a New York investment adviser.
5	Defendant knew that the assets used to make the transfers or form the basis of the transactions were custodied in the United States.
6	Defendant knew that the assets used to make the transfers or form the basis of the transactions were managed, custodied, and/or invested by BLMIS.
7	Defendant knew the assets used to make the transfer or form the basis of the transactions were purportedly invested in U.S. equities, options, and/or Treasurys.
8	Defendant conducted due diligence in the United States with respect to the transfers or transactions at issue.
9	Defendant conducted due diligence on BLMIS with respect to the transfers or transactions at issue (whether conducted in the United States or abroad).
10	Defendant used a U.S. affiliate in connection with the transfers or transactions at issue.
11	Defendant used a U.S. agent in connection with the transfers or transactions at issue—including to conduct due diligence, meet with Feeder Fund representatives, execute agreements, or otherwise provide services for, or on behalf, of the Defendant.
12	Defendant utilized U.S. bank account to receive transfers (includes correspondent accounts and accounts maintained by Defendants in their own name at U.S. banks).
13	Relationship with Feeder Fund had significant U.S. connections by virtue of the Defendant's communications with specific Feeder Fund offices, sales representatives, agents, employees, and/or other representatives located in the U.S.
14	Fees paid to Service Provider and Management Defendants that were based on BLMIS's performance and/or paid using BLMIS customer property.
15	Fees paid to Shareholder Defendants by the Feeder Funds and/or their management as incentives to invest with specific Feeder Fund or solicit others to invest in the fund.
16	Defendant participated in Feeder Fund management, and/or is an entity created by, or for the benefit of, Feeder Fund management.
17	Defendant filed a customer claim in the BLMIS liquidation in U.S. Bankruptcy Court.
18	Defendant is a U.S. citizen.
19	Defendant maintains a U.S. office utilized in connection with transaction.